Registration of third country auditors and audit entities – Declaration of honour, DEC HON (Swe) - Individuals

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| Introduction |
| This document is an Annex to Item 13.0 of Form B (Swe): Application Form for the Registration within the SIA of third country audit entities (this term refers to both single practitioners and audit firms originating from a third country) according to Article 45 of the amended Directive 2006/43/EC of 17 May 2006 on statutory audits of annual accounts and consolidated accounts.  Each applicant (an audit entity, as well as relevant individuals) has to be of good repute. Accordingly, and in order to assess whether or not the applicant is of good repute, each applicant is required to complete electronically the “Declaration of Honour” and send it to the SIA by e-mail to [ri@revisorsinspektionen.se](mailto:ri@revisorsinspektionen.se). This declaration must be signed and sent to the SIA by mail together with to other documents, giving further explanations as appropriate. |

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| Declaration of Honour | |
| Full name of the undersigned |  |
| Full address of the undersigned (Street, city and home country) |  |
| Is the undersigned acting as: | an individual third country auditor, or  a member of the administrative or management board of an audit firm (as listed in Form B-5 (Swe) – Administration and Management), or  an individual third country auditor (as listed in Form B-6 (Swe) – Third country auditors), designated by audit entity as being a person responsible for carrying out or signing on behalf of the firm an audit of a relevant audit client (as listed in Form B-7 (Swe) – Client Information)? |
| Is the undersigned currently subject to any legal proceedings? | Yes (if yes, please contact the SIA)  No |
| I, the undersigned, |  |
| born in, |  |
| on, |  |
| declare on my honour: | * not having been subject to bankruptcy, insolvency, moratorium, controlled management, suspension of payments, court ordered liquidation or reorganisation or any similar procedure affecting the rights of creditors generally and that I have not had any significant influence on a company subject to any of these proceedings; * not having any criminal records relevant to my activity, nor having been subject to any criminal investigations or proceedings relevant to my activity, civil/administrative cases and disciplinary actions relevant to my activity (including disqualification as a company director and/or in relation with bankruptcy, insolvency or similar procedures); * not having been subject to investigations, enforcement proceedings or sanctions by a supervisory authority; not having been subject to refusal of registration, authorisation, membership or license to carry out a trade, business or profession; or the withdrawal, revocation or termination of registration, authorisation, membership or license, or expulsion by a regulatory or government body; * not having been dismissed from employment or a position of trust, fiduciary relationship or similar situation, nor having been asked to resign from employment in such a position. |
| **Possible remarks or comments of the undersigned (on the items above, in particular if one of the above items cannot be confirmed by the undersigned)** | |
| **By signing this declaration of honour, the undersigned commits to inform the SIA without delay in case one of the elements covered by this declaration should change in the future.**  **The undersigned recognises and accepts that where this declaration should be incorrect, his/her professional propriety shall be compromised.**  **By signing this declaration of honour, the undersigned commits to deal with the SIA in an open and cooperative way and to disclose appropriately any information of which the SIA would reasonably expect notice.** | |
| **Function** |  |
| **Place** |  |
| **Date** |  |
| **Signature** |  |